

**PATENT**  
**DOCKET: 1959-11**  
**CONF. NO.: 5147**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICATION NO.:** 10/700,329  
**APPLICANT :** Marcus da Silva et al.  
**TITLE :** DIRECTED WIRELESS COMMUNICATION  
**FILED :** November 3, 2003  
**EXAMINER :** Lee, Justin Ye  
**TC/A.U :** 2617

USPTO  
COMMUNICATION  
DIVISION  
2011 APR -8 PM 4:54

Mail Stop 16  
Director of the USPTO  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

**REQUEST FOR REFUND UNDER 37 CFR 1.26**


Dear Sir:

The Applicant respectfully requests a refund of the \$555.00 extension of time fee submitted on August 28, 2009. This refund has been authorized by the Decision on Petition Under 37 CFR 1.137(b) mailed on May 6, 2010, a copy of which is enclosed. Commissioner is hereby authorized to credit any refund to Applicant's attorney's Deposit Account No. 50-4506.

Respectfully submitted,  
MARCUS DA SILVA, et al.

Dated: 4/8/11

Enclosure: Decision on Petition

  
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## Electronic Patent Application Fee Transmittal

Application Number:	10700329			
Filing Date:	03-Nov-2003			
Title of Invention:	Directed wireless communication			
First Named Inventor/Applicant Name:	Marcus da Silva			
Filer:	Carl J. Schwedler/Ann Pahk			
Attorney Docket Number:				
Filed as Small Entity				
Utility under 35 USC 111(a) Filing Fees				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Petition-revive unintent. abandoned appl	2453	1	810.55	810.55
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				

Adjustment date: 04/15/2011 SDIRET01  
08/31/2009 INTERF 00004999 501577 10700329  
02 FC:2253 810.55 CR 810

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension - 3 months with \$0 paid	2253	1	555	555
<b>Miscellaneous:</b>				
Request for continued examination	2801	1	405	405
<b>Total in USD (\$)</b>				<b>1770</b>



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
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**MAY 06 2010**

**OFFICE OF PETITIONS**

**VIVATO, INC.**  
**139 TOWNSEND STREET, SUITE 200**  
**SAN FRANCISCO, CA 94107**

In re Application of  
**Marcus D.A. SILVA, et al.**  
Application No. 10/700,329  
Filed: November 3, 2003  
Attorney Docket No.

DECISION ON PETITION  
UNDER 37 CFR 1.137(b)

This is a decision on the renewed petition under 37 CFR 1.137(b), filed November 18, 2009, to revive the above-identified application.

The petition is **DISMISSED**.

Any request for reconsideration of this decision must be submitted within TWO (2) MONTHS from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. The reconsideration request should include a cover letter entitled "Renewed Petition under 37 CFR 1.137(b)." This is not a final agency action within the meaning of 5 U.S.C. § 704.

The application became abandoned for failure to timely reply within the meaning of 37 CFR 1.113 to the final Office action, mailed March 17, 2008, which set a shortened statutory period for reply of three (3) months. No extensions of time under the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the application became abandoned on June 18, 2008.

The power of attorney and 3.73(b) statement submitted with the petition are considered defective.

In order to request or take action in a patent matter, the assignee must establish its ownership of the patent to the satisfaction of the Director. In this regard, a Statement under 37 CFR 3.73(b) must have either: (i) documentary evidence of a chain of title from the original owner to the assignee (e.g., copy of an executed assignment), and a statement affirming that the documentary evidence of the chain of title from the original owner to the assignee was or concurrently is being submitted for recordation pursuant to § 3.11; or (ii) a statement specifying where documentary evidence of a chain of title from the original owner to the assignee is recorded in the assignment records of the Office (e.g., reel and frame number). The power of attorney filed on November 18, 2009 is improper in that it does not contain a complete chain of title from the original owner to the current assignee of record.

In view of the above, and as it appears that Mr. Schwedler is signing the statement of unintentional delay as an assignee, then compliance with 37 CFR 3.73(b) must be satisfied.

The reply is considered defective for the reasons stated in the previous petition decision mailed on November 3, 2009 (that the paper (reply) must be signed by a proper party; i.e., all the

inventors or an assignee of the entire interest and, if signed by an assignee of the entire interest, must satisfy the provisions of 37 CFR 3.73(b)).

In sum, petitioner must submit a petition containing a statement of unintentional delay and reply (amendment) signed by all the inventors, unless petitioner herein is the assignee of the entire right, title and interest in the instant application, then compliance with 37 CFR 3.73(b) must be satisfied.

An extension of time under 37 CFR 1.136 must be filed prior to the expiration of the maximum extendable period for reply. See In re Application of S., 8 USPQ2d 1630, 1631 (Comm'r Pats. 1988). Since the \$555 extension of time fee submitted with the petition on August 28, 2009 was subsequent to the maximum extendable period for reply, petitioner may request a refund of this fee by writing to the following address: Mail Stop 16, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450. A copy of this decision should accompany petitioner's request.


Further correspondence with respect to this matter should be addressed as follows:

By mail: Mail Stop PETITIONS  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

By hand: Customer Service Window  
Mail Stop Petitions  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

By fax: (703) 872-9306  
ATTN: Office of Petitions

Any questions concerning this matter may be directed to Monica A. Graves at (571) 272-7253.

  
Thurman K. Page  
Petitions Examiner  
Office of Petitions

cc: CARL J. SCHWEDLER  
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